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SP2662

PTO/SB/21 (09-04) (AW 10/2004)

Approved for use through 7/31/2006. OMB 0651-0031

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5+5 References and Search Report

Application Number	09/646,665
Filing Date	November 27, 2000
First Named Inventor	Masaaki Higashida, et al.
Art Unit	2662
Examiner Name	Donald L. Mills
Attorney Docket No.	MAT-8014US

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**ENCLOSURES (Check all that apply)**

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/  
Incomplete Application
  - ☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a  
Provisional Application
- ☐ Power of Attorney, Revocation,  
Change of Correspondence  
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
  - ☐ Landscape Table on CD

- ☐ After Allowance Communication  
to TC
- ☐ Appeal Communication to Board  
of Appeals and Interferences
- ☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply  
Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please  
identify below):

PTO Form-1449  
5 References  
Search Report  
Postcard**Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm Name RatnerPrestia

Signature

Printed Name Lawrence E. Ashery

Date December 9, 2004

Registration No. 34,515

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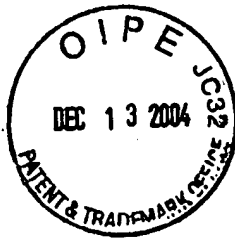
Typed or Printed Name Lawrence E. Ashery

Date December 9, 2004

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No: 09/646,665  
Applicants: M. Higashida et al.  
Filed: November 27, 2000  
Title: METHOD AND APPARATUS FOR DATA TRANSMISSION, AND METHOD AND APPARATUS FOR DATA RECEPTION  
TC/A.U.: 2662  
Examiner: Donald L. Mills  
Confirmation No.: 5725  
Docket No.: MAT-8014US

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following reference is not in the English language:

1) WO 98/52323 A

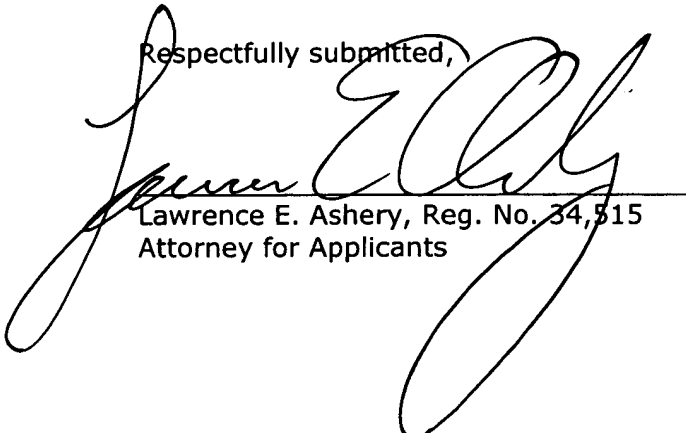
Reference 1) includes an English language abstract.

Reference 1) was cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this search

report, which indicates the degree of relevance found by the foreign office, is transmitted herewith. MPEP Section 609 IIIA(3), second paragraph.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

  
Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

LEA/ds

Enclosures: PTO Form 1449  
(5) References  
Search Report  
Transmittal Form

Dated: December 9, 2004

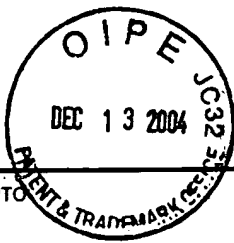
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Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	09/646,665
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Art Unit	2662
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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,289,476 A	02-22-1994	HURLBUT AMY O ET AL	
		US-5,727,036 A	03-10-1998	MAERTENS JAMES N	
		US-3,903,356 A	09-02-1975	WATATANI YOSHIZUMI ET AL	
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 98/52323 A (w/English Abstract)	11-19-1998	HIGASHIDA MASAAKI; KOBAYASHI MASAAKI; MORIOKA YOSHIHIRO		<input type="checkbox"/>
		WO 98/02988 A (w/English Abstract)	01-22-1998	PHILIPS ELECTRONICS NV; PHILIPS NORDEN AB (SE)		<input type="checkbox"/>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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**Complete if Known**

**(Use as many sheets as necessary)**

Application Number	09/646,665
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[illegible]Date  
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